

COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) ANNUAL ACTION PLAN 2019-2020

City of Tempe, Arizona



Executive Summary

AP-05 Executive Summary - 91.200(c), 91.220(b)

1. Introduction

The City of Tempe's Human Services Department assists low-income communities through the distribution of the Community Development Block Grant (CDBG) and Home Investment Partnerships Program (HOME) funds. The U.S. Department of Housing and Urban Development (HUD) annually determines the allocation amounts for these programs. In order to receive grant funds, the City must submit a five-year Consolidated Plan and an Annual Action Plan to HUD for approval. As an entitlement city, Tempe receives its CDBG funding directly from HUD and the City is a participant in the Maricopa HOME Consortium and receives HOME funds thru the Consortia.

The FY2019 Annual Action Plan represents the City's plan to address affordable housing and homeless needs. The Five-Year Consolidated Plan contains a strategic plan for addressing these needs and a specific one-year action plan for the use HUD formula grant funds. The City's AAP is a one-year plan that describes the activities that the City will fund. It also describes activities that will be undertaken to support needs as described in the Five-Year Consolidated Plan.

The FY 2019 CDBG entitlement is \$1,670,893 and \$452,574 for HOME. The City Council will formally approve the AAP on May 9, 2019.

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The City of Tempe plans to undertake the following specific objectives as identified in the Annual Action Plan:

- Rehabilitate up to 25 homeowner-occupied or rental units
- Provide down payment assistance to support the acquisition of 5 homeownership units
- Acquisitions of 5 housing units to provide affordable rental housing
- Provide public services activities to support homeless needs
- Provide public services activities to support youth mentoring services
- Provide public services activities to individuals experiencing substance abuse

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The City of Tempe has successfully completed the following activities undertaken last program year.

CDBG PS

CASS Emergency Shelter Services - Assisted 69 homeless Individuals

A New Leaf - La Mesita Family Shelter - Assisted 34 homeless families

A New Leaf – East Valley Men’s Center – Assisted 35 homeless men

Community Bridges – Mobile Outreach – Assisted 843 individuals

UMOM New Day Center – Family Shelter – Assisted 37 homeless individuals

Maggie’s Place – Elizabeth’s House – Assisted 28 pregnant women

COT Homeless Coordinator – Assisted 599 Homeless individuals.

CDBG Housing

Tempe Coalition for Affordable Housing – Acquisition – The program acquired 4 properties for affordable housing.

COT Emergency Home Repair Program – Assisted 31 low-to-moderate income households with emergency repairs.

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

The City of Tempe has a thorough and extensive community process that is employed for all projects. The City consistently seeks to include the input of Tempe residents in all phases and aspects of its Community Development initiatives, from the initial planning, to project and program implementation to the reporting and assessment of accomplishments. The effectiveness of this process is key in delivering the proper services and programs to the City’s residents, while ensuring that the overall direction of the Human Services Department’s work is consistent with residents’ expectations and is

responsive to neighborhood concerns. The City encourages citizen participation in all stages of the planning process. The city is required to submit at the end of each program year, a Consolidated Annual Performance Plan (CAPER) to summarize the accomplishments and the progress made towards the goal established in Five-Year Consolidated Plan. The City hosts Public Meetings, provides draft copies of the Plan before submission, accepts and incorporates citizen input and feedback, and holds special hearings whenever any substantial amendments are made. Notices soliciting public input are placed in the *Arizona Republic*. In addition, a notice inviting input is placed on the City website: <http://www.tempe.gov/housing>. Additional notices inviting public comment and notice of the public hearing are also placed in the Community Development Department public lobby areas, as well as the public notice kiosks at City Hall. The public is encouraged to submit written comments by e-mail and mail.

Citizen participation is strongly encouraged and includes the following opportunities for input

- 2 public hearings (February 28, 2019 and March 28, 2019)
- 1 public meeting (May 9, 2019)
- 30-day public review/comment period (March 15, 2019 – April 16, 2019)

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

No public comments received.

6. Summary of comments or views not accepted and the reasons for not accepting them

No public comments received.

7. Summary

This document was developed through a process that included a significant community engagement component, which included soliciting input from City of Tempe Planning, Economic Development, Transportation, Housing Services which administers the Housing Choice Voucher program, and Tempe Community Council (TCC) which provides human services needs in Tempe.

Additionally, soliciting input from regional organizations including the Maricopa Association of Governments, Maricopa HOME Consortium, and the Continuum of Care focus groups, workshops, and a public review period. No comments were received from the public during the public review period,

which is indicative of the extent to which community involvement was incorporated into the development of the Consolidated Plan.

PR-05 Lead & Responsible Agencies - 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	TEMPE	Human Services Department

Table 1 – Responsible Agencies

Narrative

The City of Tempe Human Services Department located at 3500 S Rural Road, Suite 202, Tempe, AZ 85252 is the lead and responsible agency for the development of the Annual Action Plan (AAP) for the CDBG funds.

The Maricopa HOME Consortium located at 234 N. Central, Third Floor, Phoenix, AZ 85004 is the lead agency for HOME funds and for the development of the AAP for HOME funds.

Consolidated Plan Public Contact Information

The contact person for the Consolidated Plan and Action Annual Plan is:

LeVon Lamy, Housing Manager Telephone: (480) 858-2264

AP-10 Consultation - 91.100, 91.200(b), 91.215(l)

1. Introduction

The City of Tempe is dedicated to obtaining input from citizens, municipal officials, non-profit agencies, non-profit developers, private developers, governmental agencies, and the Regional Continuum of Care as part of the Consolidated and Annual Action Plan processes. For the 2019 Annual Action Plan, consultation included a combination of meetings with stakeholder interviews, two community input hearings, newspaper advertisements, website announcements, and two public meetings with hearings to solicit comments on the draft Annual Action Plan. Citizen participation is strongly encouraged throughout the processes of short and long range departmental planning, plan implementation, and assessment of plan effectiveness.

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l)).

The City of Tempe will continue working to enhance coordination between public and assisted housing providers as well as private and governmental health, mental health and service agencies. This will be accomplished using both formal and informal networks that bring together public, private and nonprofit housing and service providers. These include groups such as the Resident Advisory Board and the Council of the Whole Committee.

Efforts to enhance coordination between public and assisted housing providers and private and government health, mental health, and service agencies includes Tempe Housing Agency Section 8 rental assistance program and other members work closely with applicable local housing authorities to develop strategies to assist housing low income and homeless families. Currently, Tempe has 47 families in the TBRA program.

Tempe has a number of successful groups and committees that currently work together to provide an effective delivery system for affordable housing production and services throughout the City. A variety of organizations, including the Housing Authority, Community Development Department, Transportation, Economic Development, Tempe Community Council and nonprofit agencies, routinely collaborate on projects and participate in network meetings.

City of Tempe Fair Housing Coordinator is active in initiating educational and outreach programs to further fair housing. As required by HUD, the Community Development Department completed its Fair Housing Plan, which consists of an Analysis of Impediments to Fair Housing (AI). Tempe plans to address impediments identified in the AI through disbursement of educational materials in different formats, coordinating fair housing presentations during City-wide events, maintaining records, fulfilling

reporting requirements and diligently monitoring CDBG subrecipients for fair housing compliance and making supportable referrals to fair housing enforcement agencies.

In addition, Tempe has partnered with Tempe Coalition for Affordable Housing, a non-profit established in 2018 to (a) support the construction of affordable housing for low and moderate income persons, where either limited or no adequate housing exists for such groups, (b) provide and support social service outreach ventures aimed at empowering low and moderate income persons within their communities, (c) support the acquisition, construction, or redevelopment of single and/or multi-family residences, and (d) manage any properties constructed, redeveloped or acquired by the Corporation for the purpose of creating permanently affordable rental housing.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

The City of Tempe continues to be an active participant in the regional Continuum of Care (CoC) Activities. The City of Tempe performs a full scope of activities to aid in the coordination of ending homelessness through partnerships with Maricopa County, the State of Arizona and Federal agencies.

The City of Tempe attends and participates in the Continuum of Care (CoC), a collaborative cross-sector of community stakeholders providing housing, education, health care and supportive services to people experiencing homelessness in Maricopa County. This includes the participation on the Community Standards of Excellence and the Coc's Strategic Plan to End Homelessness. The City of Tempe staff is also involved in the Chronic workgroup that is a part of the Coc's efforts to address the complexities of housing and serving chronically homeless individuals and families. Tempe is actively participating in additional sub-groups of the CoC such as; Coordinated Entry, the Outreach Collaborative and Built for Zero a nationally recognized initiative with Community Solutions. The Built for Zero Collaborative is designed to help communities get data driven results for people experiencing homelessness. Community Solutions assists communities through a convening and coaching model and is designed to leverage a dedicated team from Community Solutions, experts from across the country, partners from key federal agencies, and the support of peers to help community leaders end homelessness quickly and measurably.

Additionally, the City of Tempe's General Fund provides funding for a local Homeless Outreach Program Effort (HOPE). The HOPE program serves as an access point for the Maricopa County Coordinated Entry System for both singles and families experiencing homelessness. The HOPE team provides a variety of navigation services that include connecting hundreds of unsheltered and often difficult to engage

homeless individuals and families to mental health, physical health providers, emergency hygiene supplies, bus passes, Driver's license, State ID's, move-in kits when housing is located and housing navigation services to locate affordable housing options. The HOPE team can attend veteran and mental health court to advocate on behalf of people experiencing homelessness. The HOPE Team also works directly with the Tempe Police, and Fire Departments as well as local hospitals to engage the high utilizers of emergency services. Through this collaborative effort organized by the City, this endeavor is accomplished by providing housing and support services as an alternate to utilizing expensive emergency response resources. The City of Tempe is also a part of a larger collaborative of housing and services providers that is designed to address the needs of veterans, families and unaccompanied youth in the community through partnerships and advocacy efforts.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

Although the City of Tempe does not receive ESG funds we are actively participating in the Homeless Management Information System (HMIS) in both our Housing and Outreach programs. The HOPE outreach team also is a Coordinated Entry point and completes assessments for housing placements and enters this information into the HMIS.

The City of Tempe performs a full scope of work and services to aid in the coordination of ending homelessness services through city, county, state, and federal partnerships. The City attends and participates in the CoC Committee and Board to provide feedback and participation on strategic plans around ending homelessness, with a collaborative of cross-sector stakeholders providing housing and supportive services to people experiencing homelessness in Maricopa County.

Through the participation with the Committee and Board meetings, Tempe has provided input into the regional performance standards of excellence, and the 2017 Regional Plan to End Homelessness

2. Agencies, groups, organizations and others who participated in the process and consultations

Table 2 – Agencies, groups, organizations who participated

1	<p>Agency/Group/Organization</p> <p>Habitat for Humanity</p>	<p>Agency/Group/Organization Type</p> <p>Housing Services - Housing Regional organization Private Sector Banking / Financing</p>
	<p>What section of the Plan was addressed by Consultation?</p> <p>Housing Need Assessment</p>	
	<p>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</p> <p>Consulted through public meetings, email discussions and phone conversations</p>	
2	<p>Agency/Group/Organization</p> <p>NEWTOWN - CDC</p>	<p>Agency/Group/Organization Type</p> <p>Housing Services - Housing Neighborhood Organization</p>
	<p>What section of the Plan was addressed by Consultation?</p> <p>Housing Need Assessment</p>	
	<p>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</p> <p>Consulted by requesting to participate in the public hearing process.</p>	

3	<p>Agency/Group/Organization</p> <p>Agency/Group/Organization Type</p>	<p>Catholic Charities Community Services</p> <p>Housing Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Victims of Domestic Violence Services-homeless Services-Health Services-Employment Services - Victims</p> <p>Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Anti-poverty Strategy</p> <p>Invited to participate in the public hearing process.</p>
4	<p>Agency/Group/Organization</p> <p>Agency/Group/Organization Type</p>	<p>A NEW LEAF INC.</p> <p>Housing Services - Housing Services-Homeless Services-Health Services-Employment</p>

	<p>Housing Need Assessment Public Housing Needs Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs</p>
<p>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</p>	<p>Requested to participate in the public hearing process.</p>
<p>5</p>	<p>COMMUNITY BRIDGES</p>
<p>Agency/Group/Organization Type</p>	<p>Housing Services - Housing Services-Children Services-Elderly Persons Services-Victims of Domestic Violence Services-homeless Services-Education Services-Employment Services - Victims</p>

<p>What section of the Plan was addressed by Consultation?</p> <p>Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs Market Analysis</p>	<p>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</p> <p>Requested to participate in the public hearing process.</p>
<p>Agency/Group/Organization</p> <p>Tempe Community Action Agency</p>	<p>Agency/Group/Organization Type</p> <p>Services - Housing Services-homeless Services-Employment</p>
<p>What section of the Plan was addressed by Consultation?</p> <p>Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs</p>	<p>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</p> <p>Requested to participate in the public hearing process.</p>

7	<p>Agency/Group/Organization</p> <p>Agency/Group/Organization Type</p> <p>Housing Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Health Services-Education Services-Employment Service-Fair Housing Services - Victims Other government - Local Planning organization Grantee Department</p>	<p>TEMPE</p> <p>Housing Need Assessment Public Housing Needs Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Market Analysis Economic Development Anti-poverty Strategy Lead-based Paint Strategy</p>
	<p>What section of the Plan was addressed by Consultation?</p>	

<p>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</p>	<p>The city of Tempe held departmental meetings to solicited input from City of Tempe Planning, Economic Development, Community Development, Transportation, Building Safety and Housing Services Division which administers the City Section 8 Voucher program.</p>
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Identify any Agency Types not consulted and provide rationale for not consulting

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	MAG	The City of Tempe's goals and objectives align with the regional Continuum of Care's plan.
Analysis of Impediments to Fair Housing Choice	City of Tempe	Tempe seeks to reduce impediments to fair housing choice for citizens by aligning its Fair Housing efforts with recommendations made in AI

Table 3 - Other local / regional / federal planning efforts

Narrative

The collaboration between the City, local jurisdictions, and the housing authority is expected to continue to solidify and work together throughout the years. This continued collaboration assists the City and local jurisdictions to align services efficiently in order to eliminate gaps in services and reach hard to serve populations on the local and regional level.

AP-12 Participation - 91.401, 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

The Citizen Participation Plan provides policies and procedures that assure that the public has adequate notice and opportunities to provide input into the development of the Annual Action Plan. The City of Tempe has a thorough and extensive community process that is employed for all projects. The City consistently seeks to include the input of Tempe residents in all phases and aspects of its Community Development initiatives, from the initial planning, to project and program implementation to the reporting and assessment of accomplishments. The effectiveness of this process is key in delivering the proper services and programs to the City's residents, while ensuring that the overall direction of the Human Services Department's work is consistent with residents' expectations and is responsive to neighborhood concerns. The City encourages citizen participation in all stages of the planning process. From the drafting of the Consolidated Plan to the filing of the annual Performance Evaluation Report the City hosts Public Meetings, provides draft copies of the Plan before submission, accepts and incorporates citizen input and feedback, and holds special hearings whenever any substantial amendments are made. Notices soliciting public input are placed in the *Arizona Republic*. In addition, a notice inviting input is placed on the City website: <http://www.tempe.gov/city-hall/human-services/housing-services/public-notices-and-planshttp> Additional notices inviting public comment and notice of the public hearing are also placed in the Housing Department public lobby areas, as well as the public notice kiosks at City Hall. The public is encouraged to submit written comments by e-mail and mail.

Citizen participation is strongly encouraged and includes the following opportunities for input

- 2 public hearings (February 28, 2019 and March 28, 2019)
- 1 public meeting (May 9, 2019)
- 30 public review/comment period (March 15 – April 16, 2019)

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Public Meeting	Non-targeted/broad community	City Council and Tempe Staff	Public Meeting on 5/9/19. No comments from the attending public were received. The AAP and selection of activities was discussed by staff, Mayor and City Council. Consensus was achieved.	N/A	www.tempe.gov/clerk
2	Public Hearing	Non-targeted/broad community	City Council and Tempe Staff	Public Hearing on 3/28/19. No comments received	N/A	www.tempe.gov/clerk
3	Public Hearing	Non-targeted/broad community	City Council and Tempe Staff	Public Meeting on 2/28/19. No comments received	N/A	www.tempe.gov/clerk

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
4	Newspaper Ad	Non-targeted/broad community	Notice of 30 day public comment period was published on 3/12/19 in the Arizona Republic. A news paper of general circulation.	No comments received.	N/A	www.azrepublic.com
5	Internet Outreach	Non-targeted/broad community Residents of Public and Assisted Housing	A copy of the draft AAP was uploaded to the City of Tempe's Housing Services website. The link to the draft plan was made available for 30 days.	No comments received via email.	N/A	www.tempe.gov/housing

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources - 91.420(b), 91.220(c)(1,2)

Introduction

The City of Tempe receives funding from one federal grant programs, the Community Development Block Grant Program. The grant program will support affordable housing, homeless, and community development programs and projects in the fifth program year.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1			Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	1,670,893	0	1,670,893	0	Expected resources equal the 5th year CDBG and Program Income funding

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how

matching requirements will be satisfied

City of Tempe General Fund

The City of Tempe general commits resources from its general fund and from citizen donations through their water bill to assist over 50 nonprofit agencies that provide services to: homeless and domestic violence shelters, programs designed to assist the working poor and those individuals with disabilities and programs designed to assist youth and seniors. In FY2019, the City anticipates contributing approximately \$1,132,205 in general fund resources to assist our nonprofit partners.

Private Resources

Federal Home Loan Bank Programs

The Federal Home Loan Bank (FHLB) manages a number of programs that support the acquisition and development of affordable housing projects and the Family Self-Sufficiency Programs, known as the Individual Development and Empowerment Account (IDEA) program. Tempe nonprofits have been successful at receiving these funds in the past, and have applied for additional FHLB funds in FY2019.

Private Lenders

Local private lenders provide acquisition, rehabilitation and construction loans to Tempe's non-profit partners who produce affordable housing projects.

In order to ensure that matching requirements for the HOME program are satisfied, the City of Tempe has maintained a HOME match log since the inception of the HOME program. This log documents specific projects and identifies the date and amount of HOME dollars expended, the amount of match liability incurred, the value of a match contribution, the type of match and the date that it was recognized. The log maintains a running tally of recognized match contributions and allows the banking of match in excess of the required amounts associated with yearly disbursements. Such yearly disbursements are captured in the IDIS PR33 report and compared against the match log to ensure that ample match is available to satisfy the required 25% each year.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

The City will work with non-profit housing providers and CHDOs to redirect vacant lots created by the program for use for affordable housing.

Discussion

The City has programmed approximately \$1.7 million from the CDBG program and program income for the FY 2019 program year. This sum included the annual allocation, program income, and reprogrammed funds from previous program years. These funds will be used to operate a range of private and public services as described later in the Annual Action Plan.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Emergency Home Repair	2015	2019	Affordable Housing	Citywide CDBG Eligible Areas	Housing Repair	CDBG: \$378,393	Rental units rehabilitated: 4 Household Housing Unit Homeowner Housing Rehabilitated: 25 Household Housing Unit
2	Case Management/Career and Employment Services	2015	2019	Homeless Non-Housing Community Development	Citywide CDBG Eligible Areas	Job Training, Workforce Development Technical Assistance to Small Businesses	CDBG: \$50,000	Jobs created/retained: 5 Jobs Businesses assisted: 5 Businesses Assisted
3	Case Management and Shelter Services	2015	2019	Non-Housing Community Development	Citywide CDBG Eligible Areas	Youth Services Homeless Prevention and Emergency Assistance	CDBG: \$71,875	Homelessness Prevention: 350 Persons Assisted
4	Downpayment Assistance	2015	2019	Affordable Housing	Citywide	Housing Assistance - Homeownership	CDBG: \$716,446	Rental units rehabilitated: 4 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
5	Homeless Outreach and Coordination	2015	2019	Homeless	Citywide CDBG Eligible Areas	Homeless Management Information System (HMIS) Homeless Prevention and Emergency Assistance	CDBG: \$120,000	Homelessness Prevention: 600 Persons Assisted

Table 6 – Goals Summary

Goal Descriptions

1	Goal Name	Emergency Home Repair
	Goal Description	The Emergency Home Improvement Program funding will provide assistance to correct all health and safety deficiencies and to provide decent, safe and sanitary housing for eligible housing units (Homeowners and rental)
2	Goal Name	Case Management/Career and Employment Services
	Goal Description	Workforce Development: Will provide at least 51% of LMI jobs to LMI individuals by providing training and skill development workshops.

3	<p>Goal Name Case Management and Shelter Services</p> <p>Goal Description Public Services assistance to provide support for case management and employment/career services to homeless and chronic homeless individuals. Public Services to provide assistance with youth activities.</p>
4	<p>Goal Name Downpayment Assistance</p> <p>Goal Description Acquire properties to produce affordable rental housing; title and ongoing management responsibility will either be handled directly by the City or by Tempe Coalition for Affordable Housing.</p>
5	<p>Goal Name Homeless Outreach and Coordination</p> <p>Goal Description <u>City of Tempe Homeless Coordinator</u>: It is the responsibility of the COT Homeless Coordinator Homeless Coordinator to carry out the following responsibilities: Provide resources and information to residents who are experiencing homelessness and those who are at risk. Provide assistance to residents and local businesses who have concerns about homelessness in our community. Oversee, HOPE, the City's Homeless Outreach Team. Participate in regional planning efforts through the Maricopa County Continuum of Care. For more information, Maricopa Continuum of Care. Facilitate the Annual Homeless Street Count in Tempe. Plan Project Connect events in Tempe in partnership with Tempe-based Homeless Service Providers and the Valley of the Sun United Way. Provide community education on homelessness and its impact within Tempe.</p>

AP-35 Projects - 91.420, 91.220(d)

Introduction

The following projects were developed by staff with consultation from non-profit service providers and community input through priorities established with involvement of the community survey. The CDBG allocation for FY 2019-20 is \$1,670,893.

#	Project Name
1	City of Tempe -Emergency Home Repair
2	City of Tempe - Workforce Development
3	City of Tempe Homeless Solutions - Homeless Outreach Program
4	Maggie's Place
5	Community Bridges, Inc.
6	Big Brothers Big Sisters
7	CDBG Program Administration
8	Acquisition - Affordable Housing
9	Newtown CDC - Acquisition of Affordable Rental Housing
10	Catholic Charities Community Services - My Sister's Place

Table 7 – Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

The projects listed above were selected from the total of project proposals received in accordance with their consistency with the priorities established through the Consolidated Plan forums and community survey process. These projects meet needs enumerated in the Needs Assessment and prioritization process to the extent that funding was available. Organizational competencies were also considered when selecting one project over another, leaning toward those organizations with long-standing histories of successful project management.

AP-38 Project Summary
Project Summary Information

1	Project Name	City of Tempe -Emergency Home Repair
	Target Area	Citywide
	Goals Supported	Emergency Home Repair
	Needs Addressed	Housing Repair
	Funding	CDBG: \$378,393
	Description	COT Emergency Home Repair will provide assistance to correct health and safety deficiencies and provide decent, safe and sanitary housing to owner/rental low-to-moderate income households in Tempe. (Housing)
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	30 Households
	Location Description	City-wide
	Planned Activities	Emergency Home Repairs to low-to-moderate income rental/owner occupied households in Tempe.
2	Project Name	City of Tempe - Workforce Development
	Target Area	Citywide
	Goals Supported	Case Management/Career and Employment Services
	Needs Addressed	Technical Assistance to Small Businesses Small Business Loans
	Funding	CDBG: \$50,000
	Description	COT Workforce Development program will enhance economic opportunities in LMI neighborhoods by providing technical assistance with the goal of creating jobs to LMI Tempe residents. (Economic Development)
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	5 jobs created 5 Businesses
Location Description	City-wide	

	Planned Activities	COT Workforce Development will provide professional services to low-to-moderate income Tempe residents to create and retained jobs.
3	Project Name	City of Tempe Homeless Solutions - Homeless Outreach Program
	Target Area	Citywide
	Goals Supported	Homeless Outreach and Coordination
	Needs Addressed	Homeless Management Information System (HMIS) Homeless Prevention and Emergency Assistance
	Funding	CDBG: \$120,000
	Description	The COT Homeless Solutions Supervisor will carry-out the following responsibilities: Provide resources, outreach and services that include; bus passes, basic hygiene supplies, assistance in obtaining Drivers License, State IDs, housing navigation to locate affordable housing in the city of Tempe, move-in kits when someone locates housing, connections to substance abuse and behavioral health services to residents who are experiencing homelessness and those who are at imminent risk. Provide assistance to local businesses, COT Police Department, Hospitals and Emergency Services who encounter people experiencing homelessness in our community. Oversee the HOPE team, the City's Homeless Outreach Team. Participate in regional planning efforts with Maricopa Associated Governments (MAG) and Maricopa Continuum of Care (CoC). Facilitate the Annual Point in Time Homeless Street Count in Tempe. Plan and coordinate Tempe based homeless service providers to provide community education and services on homelessness in Tempe. (PS)
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	800 Homeless individuals
	Location Description	City-wide

	Planned Activities	<p>The COT Homeless Supervisor will carry-out the following responsibilities: Provide resources and information to residents who are experiencing homelessness and those who are at risk. Continue to work with local law enforcement, emergency services as well as local businesses who have concerns about homelessness in our community.</p> <p>The HOPE Team can attend mental health and veteran court and act as an advocate on behalf of homeless individuals and families.</p> <p>Act as a Coordinated Entry Point for both Singles and Families for the City of Tempe. Enter into Homeless Management Information System (HMIS) to ensure continuity of care for people experiencing homelessness. Provide housing assessments and diversion.</p> <p>Oversee the HOPE team, the City's Homeless Outreach Team. Participate in regional planning efforts with Maricopa Associated Governments (MAG) and Maricopa Continuum of Care (CoC). Facilitate the Annual Homeless Count in Tempe. Plan and coordinate Tempe based homeless service providers to provide community education and services on homelessness in Tempe.</p> <p>Support other city of Tempe's areas of concern of homelessness such as; Parks and Recreation, Crime Prevention, Library Services, etc.</p>
4	Project Name	Maggie's Place
	Target Area	Citywide
	Goals Supported	Case Management and Shelter Services
	Needs Addressed	Homeless Prevention and Emergency Assistance
	Funding	CDBG: \$25,000
	Description	Maggie's Place is a safe-haven to homeless pregnant women. The program provides emergency shelter and wrap-around services to vulnerable moms and their children in Tempe. (PS)
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	20
	Location Description	Elizabeth's House 1815 E Gemini Drive, Tempe AZ 85283

	Planned Activities	The program will provide basic needs and crisis shelter services to homeless pregnant women and their children in Tempe.
5	Project Name	Community Bridges, Inc.
	Target Area	Citywide
	Goals Supported	Case Management/Career and Employment Services
	Needs Addressed	Homeless Prevention and Emergency Assistance
	Funding	CDBG: \$13,437
	Description	Community Bridges provides a full continuum of highest quality substance abuse and mental health treatment, life-saving interventions. Substance and homeless outreach prevention and education throughout Tempe. (PS)
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	350
	Location Description	Mobile Services
	Planned Activities	The program will provide mobile community outreach and crisis services throughout Tempe. Participants are transported to the Addiction Recovery Center (ARC) for higher level of care. CBI Peer Support Specialist helps engage patients that struggle with chronic substance use disorders and/or mental health problems.
6	Project Name	Big Brothers Big Sisters
	Target Area	Citywide
	Goals Supported	Case Management/Career and Employment Services
	Needs Addressed	Youth Services
	Funding	CDBG: \$20,000
	Description	The site-based mentoring services we provide in Tempe are located at Scales Technology Academy and are delivered by a team of highly-trained professional staff, including a Community Relations Specialist and a Program Specialist. Screen, interview, match, and enroll children attending Scales and their mentors, manage the program, provide direct match support (to include support for each child, their mentor, and the child's family), and ensure its success in helping these children thrive in school and in life. (PS)

	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	20 Youth
	Location Description	Citywide
	Planned Activities	The BBBSAZ Tempe Mentoring Program provides community-based and site-based mentoring services to at-risk, Tempe youth, ages 6-18.
7	Project Name	CDBG Program Administration
	Target Area	Citywide CDBG Eligible Areas
	Goals Supported	Emergency Home Repair Downpayment Assistance Case Management/Career and Employment Services Case Management and Shelter Services Homeless Outreach and Coordination
	Needs Addressed	Youth Services Child Care Services Senior Services Disability Services Housing Assistance - Homeownership Housing Repair Housing Development and Reconstruction Emergency Assistance Rental Housing Subsidies Infrastructure (streets and sidewalks) Public and Community Facilities Demolition and Site Clearance Job Training, Workforce Development Technical Assistance to Small Businesses Small Business Loans Business Facades and Business Infrastructure Homeless Management Information System (HMIS) Homeless Prevention and Emergency Assistance
	Funding	CDBG: \$334,179
	Description	Program Administration
	Target Date	6/30/2020

	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	Program Administration
8	Project Name	Acquisition - Affordable Housing
	Target Area	Citywide
	Goals Supported	Downpayment Assistance
	Needs Addressed	Rental Housing Subsidies
	Funding	CDBG: \$576,446
	Description	Acquisition of properties to create affordable housing. Tempe has partnered with Tempe Coalition for Affordable Housing, a non-profit corporation established in 2018 and other agencies to (a) support construction of affordable housing for low-to-moderate income persons, (b)provide and support social services outreach ventures aimed at empowering low-and-moderate income persons with their communities, (c)support the acquisition , construction, or redevelopment of single and/or multi-family residences, and (d)manage any properties constructed, redeveloped or acquired by the Corporation for the purpose of creating permanently affordable rental housing. (Housing)
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	3 units
	Location Description	Citywide
	Planned Activities	Create affordable rental housing for low-to-moderate income households.
9	Project Name	Newtown CDC - Acquisition of Affordable Rental Housing
	Target Area	Citywide
	Goals Supported	Downpayment Assistance
	Needs Addressed	Rental Housing Subsidies

	Funding	CDBG: \$140,000
	Description	Newtown will acquire one property for the purpose of creating affordable rental housing in Tempe.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	1 Household
	Location Description	Citywide
	Planned Activities	Acquisition of one property to provide affordable rental housing.
10	Project Name	Catholic Charities Community Services - My Sister's Place
	Target Area	Citywide
	Goals Supported	Case Management/Career and Employment Services Case Management and Shelter Services Homeless Outreach and Coordination
	Needs Addressed	Homeless Prevention and Emergency Assistance
	Funding	CDBG: \$13,438
	Description	My Sisters' Place (MSP) is a 30-bed shelter that offers a suitable living environment for primarily low-income persons fleeing domestic violence. MSP opened in 1985 to fill an unmet need for safe, stable housing for survivors of domestic violence in Maricopa County. MSP provides a home-like shelter, meals, and support services to over 200 survivors each year. Tempe CDBG funds will support this shelter, which is one of only two domestic violence safe havens in the East Valley. (PS)
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	212 Individuals
	Location Description	Confidential

	Planned Activities	The program will provide survivors of domestic violence, including residents of Tempe, with a suitable living environment and a range of culturally-sensitive services that promote independence, well-being, and successful goal achievement. In addition to shelter and comprehensive support services, MSP will continue offering immediate support, information, and referrals to over 650 individuals annually through a 24/7 crisis hotline.
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AP-50 Geographic Distribution - 91.420, 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

Much of the funding from the CDBG program is available for use in any of the CDBG neighborhoods or citywide, depending on the specifics of the designated activities. Also, some funding is available according to individual benefit rather than area benefit. It is, therefore, difficult to provide reasonable projections of the distribution of funds by target area. The numbers below are strictly estimates based on experience.

Geographic Distribution

Target Area	Percentage of Funds
Citywide	70
CDBG Eligible Areas	30

Table 8 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

The proposed allocation of funds is based on federal funding requirements for each formula-allocated grant. Areas of low to moderate-income concentration and certain areas of high minority concentration are targeted. Areas of low homeownership and deteriorating housing conditions were also considered in the targeting process.

Discussion

The distribution of funds by target area is projected to be primarily citywide due to use of funds for administrative, non-profit support, and individual benefit-oriented programmatic uses of the funds. The remaining funds are estimated to be spread through smaller CDBG-eligible areas.

AP-75 Barriers to affordable housing -91.420, 91.220(j)

Introduction

Maintaining diversity and creating affordable housing opportunities are two central policy objectives of the City of Tempe. As a result, public policies tend to facilitate, rather than obstruct, the creation and preservation of affordable housing. However, even within the framework of a regulatory structure that supports affordable housing, there are some provisions and procedures that can be barriers to affordable housing. In order to further its ongoing commitment to the provision of affordable housing, the City of Tempe will continue its efforts to remove barriers and encourage support for public policies designed to house its extremely low, low and moderate-income residents.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

Tempe will continue to work to reduce the resource gap by aggressively seeking out additional federal, state and private resources to support its affordable housing priorities. To this end, the City created a Housing Trust Fund in January 2009. The purpose of the fund is to create and/or preserve affordable housing in Tempe. The HTF is currently funded with private dollars received pursuant to development agreements. Additional sources of funding are currently being researched and reviewed. In addition to the HTF, the City is considering the possible implementation of a Density Bonus program and an Affordable Housing fee for new developments. The City will work to eliminate any regulatory gaps by working with federal and other agencies to identify problems and, where appropriate, to seek refinements or waivers of regulations that impede efficient affordable housing production. In addition, the City will continue its efforts to overcome these barriers by considering the use of City-owned land, whenever possible, for development as affordable housing. There are problems with this strategy, however, because the City owns a limited amount of land. In the coming years, the City of Tempe will continue to assess all of its housing activities to take advantage of opportunities for expanding the affordable housing stock in a changing market, while working to minimize the

impact on its most vulnerable residents.

Discussion

Homeownership Incentives

The City continues to respond to high home prices by expanding its first-time homebuyer buyer education programs, increasing the amount of financial assistance it makes available to eligible homebuyers through its First-time Homebuyer Financial Assistance program, and exploring new programs to help expand homeownership opportunities. The City also allocates substantial resources to the development of affordable units for first time buyers by non-profit housing developers. The City will continue to provide incentives for homeownership through a variety of sources including the Section 8 Housing Choice Voucher Program, the Family Self-Sufficiency Program Escrow Accounts and the Community Assistance Mortgage Program (HOME and CDBG funding).

The City will continue to partner with agencies such as, Newtown, CDC’s Community Land Trust and Affordable Housing Restrictive Covenant programs that involve volunteer and “sweat equity” like Habitat for Humanity to assure continued affordability of housing city-wide. The Community Land Trust (CLT) program protects the affordability of housing by owning the land while the homebuyer owns the home and other improvements on that land. The CLT protects the affordability of the housing by controlling the sale of the home. The Affordable Housing Restrictive Covenant program contains restrictions on the transfer and encumbrance of the property, and the owner’s current and future equity interest therein. These restrictions limit the amount of equity available to the owner upon transfer, thereby maintaining the affordability of the unit for future owners. The City will also continue to support non-profits who conduct affordable housing activities in Tempe.

Rental Assistance

The City will continue to administer the Section 8 Housing Choice Voucher Program to provide assistance to households to enable them to rent

units in the private market.

Accessible Housing

The City will conduct new outreach efforts to educate the community on the availability of funds for housing accessibility for persons with disabilities. The City has an ADA Accessibility Specialist who provides information to citizens regarding the Americans with Disabilities Act and local disability resources by answering an ADA hotline. The City's Fair Housing Coordinator continues to work with the ADA Accessibility Specialist to promote community awareness of accessibility issues especially as they pertain to the Fair Housing Act and the need for accessible units.

The strategies to address this barrier include public education, using prior successful affordable housing developments as examples, and intensive work with neighborhood residents to try to develop support for new housing. Speaking with residents and city officials early in the development process has proven successful in building support for affordable housing development. It is important to recognize that in a very dense city, there will always be the difficult issue of competing uses for any remaining undeveloped land.

AP-85 Other Actions - 91.420, 91.220(k)

Introduction

The City currently provides a variety of services to the residents of Tempe, some funded by CDBG and HOME allocations, with private and City funding bringing additional assets to bear on these problems. Below are some of the actions currently performed by the City or under consideration for the future.

Actions planned to address obstacles to meeting underserved needs

The City will continue to look for new funding sources for programs to address underserved needs. Funding is the major obstacle in providing the services needed to focus on the vast variety of issues that prevent families from breaking out of poverty and from living in the best, most affordable housing possible.

Actions planned to foster and maintain affordable housing

When possible and appropriate opportunities exist, the City will explore providing financial assistance for Tax Credit Projects for affordable housing development to expand multi-family rental development projects and homeownership opportunities. Additionally, acquisition, soft costs and site development funds will be used for affordable housing development. Consideration for additional funding will be given upon completion of the pre-development phase.

In addition, Tempe has partnered with Tempe Coalition for Affordable Housing, a non-profit corporation established in 2018 to (a) support the construction of affordable housing for low and moderate income persons, where either limited or no adequate housing exists for such groups, (b) provide and support social service outreach ventures aimed at empowering low and moderate income persons within their communities, (c) support the acquisition, construction, or redevelopment of single and/or multi-family residences, and (d) manage any properties constructed, redeveloped or acquired by the Corporation for

the purpose of creating permanently affordable rental housing.

Actions planned to reduce lead-based paint hazards

- Continue to meet HUD lead-based paint abatement standards in housing rehabilitation programs.
- Seek additional funding as it becomes available to provide testing and abatement of lead-based paint hazards in single-family housing where young children are present.
- Expand the stock of lead safe housing units through housing initiatives.

Actions planned to reduce the number of poverty-level families

The City will continue its efforts in conjunction with the Continuum of Care and the Tempe Housing Authority to reduce the number of poverty-level families through the development of services needed to assist those families with educational opportunities, job growth, and life skills training through the various social service agencies operating in the city.

Actions planned to develop institutional structure

- Work with non-profit organizations to address community needs and provide support to federal and non-federal funding initiatives.
- Work with private industry to address important issues that hamper housing and community development efforts.
- Identify opportunities to create private/public partnerships for project finance and development to leverage federal funds.

Actions planned to enhance coordination between public and private housing and social

service agencies

The City will continue to coordinate planning activities with private housing and social service agencies, including participation in the MAG Continuum of Care meetings, development of the Continuum of Care, and enumeration of point-in-time and homeless surveys. City staff will also continue its participation in other coalitions and study groups as the opportunity arises.

Discussion

The actions are primarily the continuation of what the City is currently doing in the various areas. No major obstacles in the institutional structure have been identified that need to be addressed. The City is also satisfied with its efforts to coordinate with private housing and social service agencies.

Program Specific Requirements

AP-90 Program Specific Requirements - 91.420, 91.220(I)(1,2,4)

Introduction

The following provides details on program specific requirements for the Community Development Block Grant.

Community Development Block Grant Program (CDBG) Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	0

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	84.00%

Discussion

The City of Tempe has no funding returning from any of the above mentioned sources. Eighty-four percent of grant funding is expected to benefit persons of low- and moderate-income. The overall benefit will be for the period of 2018 and 2019.

Application for Federal Assistance SF-424

*** 1. Type of Submission:**

- Preapplication
- Application
- Changed/Corrected Application

*** 2. Type of Application:**

- New
- Continuation
- Revision

*** If Revision, select appropriate letter(s):**

*** Other (Specify):**

*** 3. Date Received:**

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

AZ40468

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

*** a. Legal Name:**

CITY OF TEMPE

*** b. Employer/Taxpayer Identification Number (EIN/TIN):**

866000262

*** c. Organizational DUNS:**

0744668140000

d. Address:

*** Street1:**

3500 S. Rural Rd

Street2:

*** City:**

Tempe

County/Parish:

*** State:**

AZ: Arizona

Province:

*** Country:**

USA: UNITED STATES

*** Zip / Postal Code:**

85282-5405

e. Organizational Unit:

Department Name:

Human Services

Division Name:

Housing

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Mr.

*** First Name:**

LeVon

Middle Name:

*** Last Name:**

Lamy

Suffix:

Title: Housing & Revitalization Manager

Organizational Affiliation:

*** Telephone Number:**

480-350-2264

Fax Number:

480-858-7703

*** Email:**

Levon_Lamy@tempe.gov

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

US Department of Housing & Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.218

CFDA Title:

Community Development Block Program (CDBG)

*** 12. Funding Opportunity Number:**

* Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="1,670,893.00"/>
* b. Applicant	<input type="text" value=""/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value=""/>
* f. Program Income	<input type="text" value=""/>
* g. TOTAL	<input type="text" value="1,670,893.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

- Yes No

If "Yes", provide explanation and attach

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: 

* Date Signed:

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.


Signature of Authorized Official
Andrew B. Ching

May 9, 2019
Date

City Manager _____
Title

Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan -- It is following a current consolidated plan that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2019-2020 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

Compliance with Laws -- It will comply with applicable laws.


Signature of Authorized Official

Andrew B. Ching

May 9, 2019
Date

City Manager

Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy layering -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;



Signature of Authorized Official
Andrew B. Ching

May 9, 2019
Date

City Manager
Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.