

AUDIT PLAN - FY 2018-2019

Engagement Type	Description	Target Fiscal Quarter
Audits	Disciplinary Process	4 th
	Hiring Processes	3 rd
	Information Technology	2 nd /3 rd
	Solid Waste*	1 st /2 nd
	Purchasing Cards*	3 rd
	Safety and Security	2 nd / 3 rd
Special Reviews/ Consulting Engagements	GPLETS*	3 rd /4 th
	Cell Phones*	2 nd
	Staffing & Succession Planning	4 th
	Training and Development	3 rd
OTHER SERVICES, DUTIES, & SPECIAL PROJECTS		
Fiscal Year 2017/2018 Carryover	Community Development - Planning Division Audit	1 st
	Community Risk Reduction Follow-up Audit	1 st
	Community Services - Tempe Beach Park Splash Playground Follow-up Audit	1 st
	Harassment Prevention	1 st
	City-Wide Cash Handling	2 nd
	Health Care Fund	2 nd
	Contract Management System Administration and Monitoring (On-Going)	
Employee View Program Administration (On-Going)		
Committee Participation & Consulting (On-Going)		
Investigations (As Needed)		
Procurement Overview (As Needed)		

**Indicates a special request.*

PLAN FLEXIBILITY

To ensure the IAO can respond timely to emerging risks and issues, the Audit Plan is subject to change due to:

- New or emerging risks or priorities
- Management requests
- Special investigations or reviews
- Special consulting services/engagements

IAO HORIZONS

In addition to the activities outlined on the Engagement Plan, IAO development projects planned for the 2018/2019 fiscal year include:

- Assist in creating and implementing an Audit Committee.
- Continuation of the Internal Audit Intern Program.
- Development of a continuous audit processes for key City functions/departments.