



---

City of Tempe  
P. O. Box 5002  
Tempe, AZ 85280  
www.tempe.gov

July 5, 2017

## **City of Tempe Grant Assistance Program for Transportation Services for Elderly and Disabled Residents**

### **Grant Description**

Beginning in fiscal year 2007/2008, the City of Tempe has provided \$50,000 in annual recurring grant assistance to eligible providers of transportation services to Tempe's elderly and disabled residents. The grant solicits cost-effective supplemental third party delivery of public transportation services to specifically meet the mobility needs of the elderly and disabled populations.

### **Applicant Eligibility**

All transportation service providers who provide specialized transportation services to elderly and disabled Tempe residents are eligible to apply. Current or past recipients of federal assistance through the Federal Transit Administration's (FTA) 5310 Elderly Individuals and Individuals with Disabilities Transportation Program offering services for Tempe residents are encouraged to apply. Tempe grant assistance may be used for capital or operating needs and local match requirements for regional and national grants but not staff salaries. If requested, staff may also assist any of the recipients and applicants of the Tempe grant program in applying for the regional FTA 5310 grant.

### **Establishment**

The establishment of this grant program is in response to the general conclusions and recommendations provided by the Tempe Community Council's Task Force on Disability Issues 2004 report on Transportation in Tempe. This report concludes that *"there remains a crucial need for additional transportation options for people with disabilities who live alone or live a significant distance from available public transportation routes."*

### **Grant Submittal and Requirements**

Grant proposals are due by 12:00 p.m. on August 7, 2017. Grant applications can be submitted to:

Tempe Public Works Department  
Transportation Division  
200 East Fifth Street, 2<sup>nd</sup> Floor  
Tempe, AZ 85281

*Grant forms are also available at: [www.tempe.gov/tim](http://www.tempe.gov/tim)*

A cover letter is required along with the application addressed to:

Robert Yabes, Principal Planner  
Tempe Public Works Department  
Transportation Division  
200 East Fifth Street, 2<sup>nd</sup> Floor  
Tempe, AZ 85281

Include the following in your cover letter:

- General description of agency's primary mission and client population served,
- Brief summary of project and amount of funding requested, and
- Describe your agency's need and why the grant is critical to your agency and clientele.

Letters of support from partners or collaborating organizations is encouraged, but not required. A completed proposal must also include:

- one (1) digital file of the application (MS Word format),
- one (1) signed original, and
- one (1) reproducible copy of all requested materials.

**Late proposals will not be considered.**



**City of Tempe Public Works Department  
Transportation Division  
Grant Assistance for Transportation Services for  
Elderly and Disabled Residents  
Funding for Fiscal Year 2017-2018**

**Grant proposals are due to:**

**Public Works Department  
Transportation Division  
Tempe Transportation Center (TTC), 2<sup>nd</sup> Floor,  
200 E. 5<sup>th</sup> St., Tempe, AZ 85281**

**NO LATER THAN 12:00 p.m. – August 7, 2017**

**Grant proposals are due to:**

**Tempe Public Works Department – Transportation Division, 200 E. Fifth Street, 2nd Floor, Tempe, AZ 85281  
by 12:00 p.m. on August 7, 2017. Late proposals will not be considered.**

**A completed proposal must include: one (1) digital file of the application (MS Word format);  
one (1) signed original; and one (1) reproducible copy of all requested materials.**

## **Introduction**

The City of Tempe (COT) will provide up to \$50,000 in annual grant assistance to eligible providers of transportation services to Tempe's elderly and disabled residents. This program is funded by the Public Works Department, Transportation Division with Tempe Transit Tax funds.

Eligible recipients must be able to qualify or be current or past recipients of federal assistance through the Federal Transit Administration's (FTA) 5310 *Elderly Individuals and Individuals with Disabilities Transportation Program* as administered by the Maricopa Association of Governments, Arizona Department of Transportation, and City of Phoenix.

## **Grant Description**

The Tempe Grant Assistance Program for Transportation Services for Elderly and Disabled Residents is modeled on the Federal Transit Administration's (FTA) Section 5310 *Elderly Individuals and Individuals with Disabilities Transportation Program* as administered by the Maricopa Association of Governments, ADOT, and City of Phoenix. The program provides grant assistance that may be used for capital or operating assistance (but not staff salaries) for public transportation programs designed to meet the special needs of elderly individuals and individuals with disabilities. The funds can also be used to meet local match requirements for Federal 5310 or similar grants from the private sector. Funding is provided by the City of Tempe Transit Fund. The majority of the benefits and beneficiaries of the proposed projects or programs must serve City of Tempe residents.

**Organizations eligible for assistance include all organizations that can qualify or are current or past recipients of federal 5310 grant assistance.**

The overall goal of this program is to provide and strengthen the public transportation services available to meet the special needs of the elderly and disabled. These populations have transportation needs that are often not met by conventional automobile or public transportation services (e.g., Transit or Dial-a-Ride/Paratransit), and require specialized assistance in order to access services, employment, and medical care.

A major program objective is to identify cost effective, innovative responses that meet the needs of the target groups that could be replicated by other local and regional agencies. Another objective of Tempe's Transportation Services program is to encourage local providers to seek additional federal or private funding sources by using the city funds as matching funds. ***Staff salary is not an eligible expenditure for this grant.***

Tempe will solicit and evaluate grant applications based on the established goals and objectives, the overall strength of the program, and its service to the Tempe community. A copy of the evaluation tool is enclosed for your reference.

Provide a cover letter along with the application addressed to:

**City of Tempe  
Robert Yabes, Principal Planner  
Tempe Public Works Department, Transportation Division  
200 East Fifth Street, 2<sup>nd</sup> Floor  
Tempe, AZ 85281**

Include the following in your cover letter:

- Description of agency's primary mission and client population served.
- Brief summary of project and amount of funding requested.
- Description of your agency's need and why the grant is critical to your agency and clientele.

Letters of support from partners or collaborating organizations are encouraged, but not required.

**Grant proposals are due to:**

**Tempe Public Works Department – Transportation Division, 200 E. Fifth Street, 2nd Floor, Tempe, AZ 85281  
by 12:00 p.m. on August 7, 2017. Late proposals will not be considered.**

**A completed proposal must include: one (1) digital file of the application (MS Word format);  
one (1) signed original; and one (1) reproducible copy of all requested materials.**

Please do not hesitate to contact me with any questions or concerns related to the grant requirements and process. Questions should be submitted by electronic mail and responses will be posted on the website at [www.tempe.gov/tim](http://www.tempe.gov/tim).

**Contact Information**

City of Tempe  
Robert Yabes, Principal Planner  
Tempe Public Works Department, Transportation Division  
200 East Fifth Street, 2<sup>nd</sup> Floor  
Tempe, AZ 85281  
480.350.2734 - direct  
[Robert\\_yabes@tempe.gov](mailto:Robert_yabes@tempe.gov)

Agency Name: \_\_\_\_\_

**COT FY 2017/18  
Grant Assistance for  
Transportation Services for Elderly and Disabled Residents**

Please order and attach the following information and documentation. Please follow the instructions. The entire application packet is available online at [www.tempe.gov/tim](http://www.tempe.gov/tim).

<b>FORM #</b>	<b>TABLE OF CONTENTS</b>	<b>PAGE #</b>	<b>FORM COMPLETED AND ATTACHED (PLEASE CHECK)</b>
1	Attachments Checklist (this page) .....	1	_____
2	Application Summary .....	2	_____
3	Program & Budget Summary <b>(one per program)</b> .....	3	_____
4	Budget Explanation <b>(one per program)</b> .....	4	_____
5	Client Profile Form <b>(one per program)</b> .....	5	_____
6	2017/2018 Projected Agency Budget .....	6	_____
7	2016/2017 Current Agency Budget .....	7	_____
8	Background Information Form .....	8-9	_____
9	List of Assurances .....	10-11	_____
10	Board of Directors Information .....	12	_____

**ATTACHMENTS:**

- Date and proof of incorporation \_\_\_\_\_
- 501(c)(3) Internal Revenue Service (IRS) letter of non-profit eligibility status \_\_\_\_\_
- 2016 IRS Form 990 including **Schedule A**  
(If 2016 is unavailable, please submit 2015 with Extension) \_\_\_\_\_
- 2016 or most recent audit including Management Letter \_\_\_\_\_
- Most recent Officer and Board of Directors List \_\_\_\_\_
- Latest brochure or other promotional material \_\_\_\_\_
- Fee structure for agency service(s) \_\_\_\_\_
- Proof of Liability Insurance Coverage \_\_\_\_\_

**Grant proposals are due to:**  
**Tempe Public Works Department – Transportation Division, 200 E. Fifth Street, 2nd Floor, Tempe, AZ 85281**  
**by 12:00 p.m. on August 7, 2017. Late proposals will not be considered.**  
**A completed proposal must include: one (1) digital file of the application (MS Word format);**  
**one (1) signed original; and one (1) reproducible copy of all requested materials.**







**PROGRAM AND BUDGET SUMMARY**  
**FY 2017/2018 Application**

Agency Name: \_\_\_\_\_

Program Name: \_\_\_\_\_

Funding Requested for this Program: \$\_\_\_\_\_

**(Must match \$ amount on Summary of Requested Funds, Form 2, and Budget Explanation, Form 4.)**

**SUMMARY OF PROGRAM:** Please provide brief statements indicating what service(s) are proposed; how the service(s) will be delivered (provided); why service(s) are needed; expected outcomes, and the measurable outcomes achieved last fiscal year if applicable. If additional space is needed for this section, please attach **no more than two (2) pages total**.

1. Proposed service(s) from this funding source:
2. Detailed geographic area where proposed service(s) is to be provided as well as the address where service(s) will be located:
3. Why is this service needed in the community?
4. Name two (2) outcomes by which your agency will measure the impact of each proposed service. Outcome measures should explain the benefits or changes for participants during or after program activities (e.g. new knowledge, increased skills, changed attitudes or values). **What do you want to happen as a result of your activities?** Example: With Tempe's funding allocation, our agency was able to serve X number of people in our program as compared with last year, resulting in an increase/decrease of Y.
5. State measurable outcomes achieved in the last fiscal year (if program was in existence). What have you learned?
6. List the major collaborator(s) that the agency works with in regards to the proposed service(s) (collaborators can include other non-profits, private businesses, governments, schools, service organizations, etc.):
7. Explain how the collaborator(s) in Question 6 assist with the success of the proposed service(s).
8. State how your agency will complement (and not duplicate) existing demand response services in the COT (e.g., Valley Metro Dial-a-Ride, other agencies providing medical/other transportation services).
9. Describe your operational model for providing demand response services.
10. Describe the qualifications for the staff that will be directly responsible for managing operations and working directly with clients?
11. Provide a detailed description of your current and/or proposed fleet, current/proposed staffing (including organization chart), and general policies and procedures for operating demand response service.
12. What will be the clients' experience while using your service? Do/will you have standards for phone call hold times, pick-up windows, pick-up wait times, hours of operation, geographic boundaries? Please describe.

13. How will clients learn about this service?
14. The grant is meant to provide funding for programs designed to serve persons with disabilities and elderly individuals. How will you certify eligibility?
15. What efforts can you make to coordinate with fixed route bus service in Tempe and the region?
16. What input will you afford clients in terms of how you provide the service?

**BUDGET EXPLANATION FOR FY 2017/2018 COT FUNDS REQUESTED**  
*(Must match \$ on Program and Budget Summary, Form 3)*

Agency Name: \_\_\_\_\_ Program Title: \_\_\_\_\_

(One explanation sheet per program)

Budget Line Item	Amount	Explanation
Contracted Services	\$	
Capital Outlay	\$	
Equipment	\$	
Supplies	\$	
Other	\$	
Other	\$	
Other	\$	
<b>TOTAL</b>	\$	

**CLIENT\* PROFILE FORM**

Numbers presented below are to reflect the **unduplicated** count of individuals served for the years (FY 2016-2017 and 2017-2018 will be estimates)

Agency Name: \_\_\_\_\_

Program Title: \_\_\_\_\_

**BREAK OUT OF TEMPE CLIENTS**

	FY16/17	%Total**	FY17/18	%Total**		FY 16/17	%Total**	FY17/18	%Total**
<b>Location</b>					<b>Sex</b>				
Avondale					Males				
Buckeye					Females				
Carefree					Total				
Cave Creek					<b>Ethnic Background</b>				
Chandler					White, Non-Hispanic				
El Mirage					Black, Non-Hispanic				
Fountain Hills					Hispanic				
Gila Bend					Native American				
Gilbert					Asian				
Glendale					Pacific / Islander				
Goodyear					Others				
Guadalupe					<b>Total</b>				
Litchfield Park					<b>Age</b>				
Mesa					0 - 4				
Paradise Valley					5 - 13				
Peoria					14 - 17				
Phoenix					18 - 30				
Queen Creek					31 - 59				
Scottsdale					60 - 64				
Sun Cities					65 - 74				
Surprise					75 +				
					Total				
					<b>Family Income Level</b>				
					\$0 - \$4,999				
					\$5,000 - \$9,999				
					\$10,000 - \$24,999				
					\$25,000 - \$49,999				
					\$50,000 and up				
					Total				
<b>Total</b>									

\*Categories listed may not be applicable to all agencies

**AGENCY OPERATIONS SPREAD SHEET\***  
**FY 2017/2018 PROJECTED Agency Budget**  
 For Period \_\_\_\_\_ to \_\_\_\_\_

Agency Name: \_\_\_\_\_ Prepared by: \_\_\_\_\_

Revenue Sources**	Admin. Costs	REVENUE BY PROGRAM AND SOURCE						
								Total
City of Tempe								
<b>Total Program Revenue</b>								
Budget Categories	EXPENSES BY PROGRAM							
Personnel								
ERE								
Prof./Outside Services								
Travel								
Space								
Equipment								
Materials/Supplies								
Operating Services								
Other (specify)								
<b>Total Program Expenditures</b>								
One-Time/Start-Up								
*** (DEFINE UNIT HERE)								
Number of Units								
Unit Rate								

\* Agency must submit spreadsheet for last 2016-2017 funding year (Form 6) and CURRENT 2017-2018 budget (Form 7)  
 \*\*Be as specific as possible i.e. list individual city contributors separately (an additional page may be attached to break out grouped line items)  
 \*\*\*Number of Units and Unit Rate can vary from program to program. We ask that each applicant best define their respective Unit (e.g. client, hour, pound of food) and best determine the cost per unit (the rate) it takes the applicant to deliver the service(s).

**AGENCY OPERATIONS SPREAD SHEET\***  
**FY 2016-2017 Current Agency Budget (or Last Fiscal Year Agency Budget)**  
 For Period \_\_\_\_\_ to \_\_\_\_\_

Agency Name: \_\_\_\_\_ Prepared by: \_\_\_\_\_

Revenue Sources**	Admin. Costs	REVENUE BY PROGRAM AND SOURCE							Total
City of Tempe									
<b>Total Program Revenue</b>									
Budget Categories		EXPENSES BY PROGRAM							
Personnel									
ERE									
Prof./Outside Services									
Travel									
Space									
Equipment									
Materials/Supplies									
Operating Services									
Other (specify)									
<b>Total Program Expenditures</b>									
One-Time/Start-Up									
*** (DEFINE UNIT HERE)									
Number of Units									
Unit Rate									

\* Agency must submit spreadsheet for the 2016-2017 funding year (this form) and PROJECTED 2017-2018 budget (Form 6)  
 \*\*Be as specific as possible i.e. list individual city contributors separately (an additional page may be attached to break out grouped line items)  
 \*\*\*Number of Units and Unit Rate can vary from program to program. We ask that each applicant best define their respective Unit (e.g. client, hour, pound of food) and best determine the cost per unit (the rate) it takes the applicant to deliver the service(s).

**BACKGROUND INFORMATION**

Agency Name: \_\_\_\_\_

Answer the following questions about this agency. When explanations are requested, use the space provided or attach additional pages with corresponding numbers.

1. a. Indicate the type of agency submitting this proposal.

- Private Non-Profit Corporation. Year incorporated 501(c)3 \_\_\_\_\_
- Government
- Other (specify)

b. When was the agency formed? \_\_\_\_\_

2. Has any federal or state agency ever made a finding of non-compliance with any civil rights requirements with respect to this service program?

Yes  No. If yes, explain:

3. Has this agency ever gone through bankruptcy or are there any suits, judgments, tax deficiencies, or claims pending against this agency?

Yes  No. If yes, explain:

4. Does this agency have an internal budget development and approval process?

Yes  No. If yes, provide a detailed description of the process:

5. Are the operating budgets based on the prior year's financial statements?

Yes  No. If no, explain:

6. Does this agency track its on-going revenues/expenditures by source against the approved budget?

Yes  No. If yes, provide a detailed description of the tracking method:

7. Does the most recent auditor's letter to management identify findings or administrative concerns?

Yes  No. If yes, describe means being taken to resolve them:

---

---

---

---

---

---

---

---

**BACKGROUND INFORMATION**

(continued)

8. Does this agency have an accounting manual?  
 Yes  No. If no, describe how accounting procedures are established:
9. Have any licenses ever been denied, revoked or suspended or provisionally issued within the past five years?  
 Yes  No. If yes, explain:
10. Has this agency or any of its officers been the subject of criminal investigations or prosecutions?  
 Yes  No. If yes, were there any convictions? Include the offense and the year of conviction.
11. Has this agency terminated any contracts, had any contracts terminated, or been involved in contract lawsuits?  
 Yes  No. If yes, explain:
12. Do you, your staff, any of your relatives, or voting members of your Board of Directors maintain any ownerships, employments, public and private affiliations or relationships which may have substantial interest (as defined in A.R.S. 38-502, Conflict of Interest) in any contract, sale, purchase or service involving the agency or organization?  
 Yes  No. If yes, complete and submit a Disclosure of Conflict of Interest Statement.
13. Has this agency conducted a written self-evaluation to determine compliance with Title III of the Americans with Disabilities Act (ADA) regarding removal of communication and architectural barriers in public areas?  
 Yes  No. If yes, have you implemented needed barrier removal? Explain:
- If no, do you commit to conducting a self-evaluation during 2018?  
 Yes  No
14. Will the agency provide a copy of its liability insurance coverage upon request from the COT?  
 Yes  No. If no, please explain why agency is unable to comply with this request.



## LIST OF ASSURANCES

Agency Name: \_\_\_\_\_

Candidates are required to have all applicable assurances from the following list on file in order to be eligible for funding from the COT.

**Initial of Director/CEO****1. AGENCY ORGANIZATION.**

- a. Agency has on file a current staff organization chart, setting forth lines of authority, responsibility and communication in accordance with policies established by the governing body.

\_\_\_\_\_

- b. (If applicable) Agency has on file a current organization chart depicting its relationship to the organization of which it is a subsidiary or by which it is sponsored.

\_\_\_\_\_

- c. Agency has on file a current copy of the Articles of Incorporation or partnership agreement.

\_\_\_\_\_

- d. Agency has on file a current and complete list of the names and addresses of all members of the Board of Directors, including titles.

\_\_\_\_\_

- e. Agency has written procedures that require due process and the prompt resolution of any complaint of discrimination on the basis of age, sex, religion, race, national origin or disability.

\_\_\_\_\_

- f. Agency has on file a copy of the organization's most recent "Annual Report and Certificate of Disclosure" submitted to the Arizona Corporation Commission.

\_\_\_\_\_

**2. PERSONNEL**

- a. Agency has on file a current written job description, including minimum qualifications for training and experience, for each position.

\_\_\_\_\_

- b. There is a resume or application on file for persons providing any service that specifies qualifications to perform the proposed service.

\_\_\_\_\_

**LIST OF ASSURANCES**  
(continued)

Initial of Director/CEO

**2. PERSONNEL** (cont.)

- c. For direct services to individuals under 18 years of age, agency complies with A.R.S. 46-141 as relates to fingerprinting and certification of employees providing direct service to minors.

\_\_\_\_\_

**3. FINANCIAL**

- a. Agency has on file a copy of the most recent audit report, including the auditor's letter to management.

\_\_\_\_\_

- b. Agency has on file its latest annual financial statement, including balance sheet and income statement.

\_\_\_\_\_

- c. Agency has on file a copy of its most recent IRS-990, "Return of Organization Exempt from Income Tax."

\_\_\_\_\_

**BOARD OF DIRECTORS INFORMATION**

Agency Name: \_\_\_\_\_

1. Total number of positions on the Board of Directors: \_\_\_\_\_
2. Average Tenure of Board Members? \_\_\_\_\_
3. Are there term limits? \_\_\_\_\_ Yes \_\_\_\_\_ No.  
If yes, what are they?
4. Number of Board meetings held in FY 2016-2017: \_\_\_\_\_

**Grant proposals are due to:**  
**Tempe Public Works Department – Transportation Division, 200 E. Fifth Street, 2nd Floor, Tempe, AZ**  
**85281**  
**by 12:00 p.m. on August 7, 2017. Late proposals will not be considered.**  
**A completed proposal must include: one (1) digital file of the application (MS Word format);**  
**one (1) signed original; and one (1) reproducible copy of all requested materials.**

**City of Tempe 5310 Transit Grant (Scores/Comments)**

CRITERIA	MAX									
1. Does the proposed service address a critical Human Service need in Tempe? Does the proposed service meet the grant objectives?	25									
2. Based upon their application materials, does the agency appear to be a strong, viable partner to deliver Human Services in Tempe? Does the proposed service supplement the current services provided by Valley Metro Transit and Dial-a-Ride program?	25									
3. Does the proposed program effectively work with community collaborators to deliver the proposed service(s)?	10									
4. Are the proposal's listed outcomes clearly stated and measurable?	7									
5. Does the program appear to be financially sound by demonstrating broad financial support?	10									
6. Does the agency demonstrate service capacity improvements?	3									
7. Are the proposed services offered in an appropriate location and scale?	5									
8. Does the proposed program serve a significant number of Tempe clients respective to their service?	5									
9. Does the proposal reflect sufficient experience, knowledge, and qualifications with respect to operating and managing demand response transport services?	10									
<b>Total</b>	100									

Request	Points