CERTIFICATE OF GOOD FAITH EFFORTS

The intent of this certification is to document the good faith efforts implemented by the apparent low bidder in soliciting and utilizing DBE firms to meet the DBE goal. This certificate will assist ADOT in determining whether the apparent low bidder has implemented comprehensive good faith efforts. The burden of proof rests with the apparent low bidder.

Failure to implement "good faith" efforts to the satisfaction of ADOT will result in rejection of the bid and forfeiture of the proposal guarantee.

I, _____, do hereby acknowledge that I am the _____ of _____ who has been identified as the apparent low bidder on ADOT Project No. _____.

Provide a brief summary of why the DBE goal on this project has not been met. (Attach additional sheets if necessary.)

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I hereby certify that I have used comprehensive "good faith" efforts to solicit and utilize DBE's to meet the DBE goal of this contract as demonstrated by my responses to the following questions:

A. IDENTIFYING SUBCONTRACT WORK ITEMS

Contractors are encouraged to select portions of work to be subcontracted in a manner which will increase the likelihood of meeting the DBE goal. In selecting work to be subcontracted, contractors will consider, where appropriate, breaking down contracts into economically feasible units to facilitate DBE participation.

1. Which portion of the contract proposal, in terms of the nature of work, were selected to be subcontracted to DBE firms?

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B. NOTIFYING DBE FIRMS OF CONTRACTING OPPORTUNITIES

2. Solicitations by mail must be made to all DBE firms identified on ADOT's DBE List that perform the type of work which is proposed to be subcontracted. Following this initial solicitation, at least one telephone call must be made to any DBE firm who has not responded to the mailing.

   In the spaces provided below, indicate which firms received written notification of work items to be subcontracted. In the appropriate space, also indicate when firms received subsequent telephone solicitations. Please attach additional sheet(s) so that all companies contacted are listed. (Attach photo copies of all written solicitations to DBE firms to this certificate, along with copies of telephone logs documenting follow-up commications.)

Company Contacted	Date	DBE No.	Telephone	Date

3. Were the services of the ADOT DBE Supportive Services Consultant, Applied Business Concepts, used to assist in the recruitment of DBE firms? Good faith efforts will not be recognized if the apparent low bidder failed to contact Applied Business Concepts, Inc.

   Yes ___ No ___

   Contact was made by: telephone ____ or written correspondence ____

   Date contacted:_____

C. PROVIDING DBE'S WITH ASSISTANCE

4. Explain any efforts undertaken to provide DBE firms with information about project plans, specifications, and requirements of the contract:

   _____

   _____

   _____

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5. Describe any efforts undertaken to assist interested DBE firms in obtaining bonding, lines of credit, or insurance required by ADOT or the contract.

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6. Describe any other efforts initiated to provide special assistance to DBE firms interested in participating in the project:

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C. SOLICITING QUOTES FROM INTERESTED DBE FIRMS

Contractors must solicit quotes in good faith with interested DBE firms. Quotes, proposals and bids from interested DBE firms must not be rejected bidders without sound justification based on a thorough investigation of the capabilities of the DBE firms.

7. Indicate in the space provided below which DBE firms submitted quotes on the contract proposal. Provide a brief explanation of why any of these DBE project quotes were rejected. If price was a determining factor, provide documentation to show non minority bids. HOWEVER, PRICE ALONE IS NOT SUFFICIENT REASON TO REJECT A DBE PROPOSAL. Also, attach additional sheet(s) to indicate the work item(s) broken out for DBE proposals and the price (total and per work item) proposed by each DBE firm contacted.

Name of DBE firm	Explanation for Rejecting Quotes

E. OTHER GOOD FAITH EFFORTS

8. Decribe in detail any supplemental items or efforts which you wish to have the Department consider as part of your Good Faith Effort. Attach additional sheets for this item.